JC03 Rec'd PCT/P 02-2005) (651-0021 COMMERCE Approved for use the use of 1007 of U.S. Patent and Trademark Office; U.S. DEPARTMENT OF

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER 14836-49926

U.S. APPLICATION NOT If Import, peg 37 GFR 1.53

CONCERNING A SUBMISSIO	Unassigned 10/534268								
INTERNATIONAL APPLICATION NO. PCT/EP03/12428	INTERNATIONAL FILING DATE 6 November 2003	PRIORITY DATE CLAIMED 7 November 2002							
TITLE OF INVENTION MEDIUM AND METHOD FOR MEASURING THE EFFICACY OF A TUMOUR THERAPY									
APPLICANT(S) FOR DO/EO/US BABARINA, Alexandra; WALDENMAIER, Dirk Sebastian; KISCHKEL, Frank Christian									
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:									
1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.									
2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.									
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.									
4. The US has been elected (Article 31).									
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))									
a. is attached hereto (required only if not communicated by the International Bureau).									
b. 🚺 has been communicated by the International Bureau.									
c. is not required, as the application was filed in the United States Receiving Office (RO/US).									
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).									
a. D is attached hereto.									
b. has been previously submit	b. has been previously submitted under 35 U.S.C. 154(d)(4).								
7. Amendments to the claims of the Inter	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))								
a. are attached hereto (requir	a. are attached hereto (required only if not communicated by the International Bureau).								
b. have been communicated	b. have been communicated by the International Bureau.								
c have not been made; howe	c have not been made; however, the time limit for making such amendments has NOT expired.								
d. have not been made and w	d. have not been made and will not be made.								
8. An English language translation of the	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).								
9. An oath or declaration of the inventor(An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).								
10. An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).								
Items 11 to 20 below concern document(s) or information included:									
11. An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.								
	g. A separate cover sheet in compliance wil	th 37 CFR 3.28 and 3.31 is included.							
13. A preliminary amendment.	· · · · · · · · · · · · · · · · · · ·								
14. An Application Data Sheet under 37 C	An Application Data Sheet under 37 CFR 1.76.								
15. A substitute specification.	A substitute specification.								
16. A power of attorney and/or change of	A power of attorney and/or change of address letter.								
17. A computer-readable form of the sequ	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.								
18. A second copy of the published Intern	A second copy of the published International Application under 35 U.S.C. 154(d)(4).								
	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).								
20. Other items or information: PCT/RO/	Other items or information: PCT/RO/101, copy of the publication (in German); PTO-2038 in the amount of \$1,520.00								

This collection of information is required by 37 CFR 1.414 and 1.491.1 492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Patent and Trademark Office, U.S. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2 Page 1 of 2

JC14 Rec'd PCT/PTO 06 MAY 2005

PTO-1390 (Rev. 02-2005)
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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKET NUMBER				
Unassigned 10/534268 PCT/EP03/12428		14836-49926					
The following fees have been submitted					CALCULATIONS	PTO USE ONLY	
1 _	ational fee			\$300	\$ \$300.00		
22. Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) \$100 All other situations \$200					\$ \$200.00		
23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority				\$ \$400.00			
то	TAL OF 21, 22	2 and 23 =			\$ \$900.00	_	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets	Extra Sheets		h additional 50 or fraction up to a whole number)	RATE			
11 - 100 =	/50 =			x \$250	\$		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).					\$ \$130.00		
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$		
Total claims		10 - 20 =		× \$50	\$		
Independent claims	-	1 -3=		x \$200	\$		
MULTIPLE DEPEND	ENT CLAIM(S			+ \$360	\$ \$360.00	· · · · · · · · · · · · · · · · · · ·	
MOETH EE DEFENE	72.111 OD 1111(O	, (ii applicable)	TOTAL OF ABOVE	CALCULATIONS =	\$ \$360.00	 	
☐ Applicant claims	small entity st	atus. See 37 CFI	R 1.27. Fees above are reduc		\$300.00		
				SUBTOTAL =	\$ \$1,390,00		
Processing fee of \$1	30.00 for furnis	shing the English	translation later than 30 mont		ψ1,000.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$	\$130.00		
			TOTAL	NATIONAL FEE =	\$ \$1,520.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$		
			TOTAL F	EES ENCLOSED =	s	\$1,520.00	
					Amount to be refunded:	\$1,520.00	
					Amount to be charged:	\$	
a. A check in the amount of \$ to cover the above fees is enclosed.							
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORRESPONDENCE TO:					HAR		
Tim Tingkang Xia, Esq. SIGNATURE							
İ		ning & Martin, l a Financial Cen			Tim Tingkang Xia		
1600 Atlanta Financial Center 3343 Peachtree Road NE NAME				NAME	<u> </u>		
Atlanta, Georgia 30326-1044					45,242		
REGISTRATIO					ON NUMBER		

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Babarina, et al.

Docket:

14836-49926

Title:

MEDIUM AND METHOD FOR MEASURING THE EFFICACY OF A TUMOUR THERAPY

CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EL789905857US

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Customer No. 24728

Sir:

We are transmitting herewith the attached:

□ Transmittal Letter to the United State Designated/Elected Office (DO/EO/US)

Concerning a Filing Under 35 U.S.C. 371 (2 pages)

Oppy of International Publication No. WO 2004/042393 A1 (15 pages)

Oppy of PCT Request PCT/RO/101 (6 pages)

Preliminary Amendment (3 pages)

Application Data Sheet (4 pages)

☐ Information Disclosure Statement (2 pages)

Information Disclosure Statement List (1 page)

☐ Credit Card Authorization Form-2038 in the Amount of \$1,520.00

USPTO is Authorized to charge any additional fee(s) or underpayments of fee(s) or

credit any overpayments under 37 CFR 1.16 and 1.17.

Return postcard

Please send all Correspondence to:

Tim Tingkang Xia, Esq.
MORRIS, MANNING & MARTIN, LLP

1600 Atlanta Financial Center 3343 Peachtree Road NE

> Atlanta, Georgia 30326 404-233-700 (Main)

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By:

Name: Tim Tingkang Xia

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